The European Security and Defence Policy
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1. Introduction

This year marks the end of the first decade of the European Union’s European Security and Defence Policy (ESDP). In this relatively short period, the European Union has not only established the required structures, procedures and concepts; since 2003, 24 civilian, military or civil-military operations and missions have been undertaken within the framework of the ESDP and a number of these have already been successfully completed. Today, with its ESDP instruments, the EU is a recognized actor in the field of international crisis management whose capabilities are sought around the world.

Through the ESDP and the instruments available to the European Commission and the EU member states, the European Union has the complete range of tools for crisis prevention, crisis management and post-crisis rehabilitation at its disposal. It has both military and civilian capabilities, provided by the member states, that enable it to respond quickly to crises (e.g. EU Battlegroups and Civilian Response Teams). This is what makes the ESDP so attractive.

The European Union has proven its ability to take action in a number of instances, most recently in the August 2008 war in Georgia where its swift response and presence on the ground greatly contributed to defusing the conflict and to overcoming the lack of dialogue between the conflict parties.

The European Union is carrying out its first maritime operation off the Horn of Africa, which aims to combat piracy off Somalia’s coast. It is closely coordinating this operation with NATO, the United States, Russia, Japan and China, as well as with countries in the region. By protecting the World Food Programme’s ships, it is becoming increasingly possible – despite the many difficulties – to provide the people in Somalia with essential supplies of food.

In Kosovo the European Union is carrying out its first executive ESDP mission which, with nearly 2000 staff, is also the largest civilian mission to date. EULEX Kosovo is a police and rule-of-law mission that has assumed tasks in the police, judiciary and customs areas through—out Kosovo from the UN Interim Administration Mission in Kosovo (UNMIK). The mission is making a substantial contribution to the country’s stabilization and helping to establish rule-of-law structures.
The ESDP is and will continue to be open for cooperation with third countries because often its goals can only be reached by working with partners, particularly partners from the affected crisis region. The capabilities of the EU complement those of the other important actors in international crisis management such as NATO, the UN or the OSCE. The EU maintains, and continually strives to deepen, close working relationships with these organizations at all levels and coordinates its activities within the framework of missions and operations.

In addition, the European Union is increasingly partnering with regional organizations in the field of crisis management in order to strengthen their ability to assume responsibility in their respective regions. Through this approach, the EU is actively supporting the African Union in building up its own crisis-management capabilities.

"As a union of 25 states¹ with over 450 million people producing a quarter of the world’s Gross National Product (GNP), the European Union is inevitably a global player ... It should therefore be ready to share in the responsibility for global security and building a better world."

(European Security Strategy; Introduction)

¹ After the accession of Bulgaria and Romania on 1 January 2007 the European Union now has 27 member states

Regular opinion polls have shown that the vast majority of EU citizens support a Common Foreign and Security Policy for the EU. Clarity as to the objectives and the means to achieve them is required to enable the EU to do justice to its responsibility as a player in security policy. The European Security Strategy of December 2003 was the first document to formulate corresponding guidelines for the EU. Germany was instrumental in drafting the document and worked to ensure that the Strategy emphasizes the importance of international law and the role of the United Nations. In its first review of the implementation of the European Security Strategy in December 2008, the European Council reiterated the Strategy’s content and goals.

Germany is making a significant and widely acknowledged contribution to the ESDP. From the very start we have lent our support to the equal development of civilian and military capabilities and are currently participating in nearly every civilian ESDP mission with police officers, customs officials and experts. The reform of the Bundeswehr (Federal Armed Forces) will allow Germany to meet the demands of military crisis management even better in the future, within the framework of the EU and NATO.

The strategic partnership between the EU and NATO is and will remain indispensable to the success of the ESDP. The EU and NATO complement rather than compete with each other. A dynamic ESDP strengthens the European pillar of the Alliance and therefore NATO as a whole. France’s return to the military structures of NATO at the April 2009 NATO summit in Strasbourg and Kehl further reinforces this partnership. It is only by working together that the democracies of Europe and North America can ensure their security.
2. Germany in Europe – Opportunities and risks in a changed security environment

Our security environment changed fundamentally following the events of 1989 and 1990. Germany and Europe now face completely different challenges than they did when our continent was divided into East and West. The events of 11 September 2001 made this absolutely clear. The main parameters of these challenges can be summarized as follows:

- Threat analysis focuses on terrorist groups operating worldwide, dangers emanating from failed or failing states and the proliferation of weapons of mass destruction. The significance of traditional national and Alliance defence has diminished considerably by comparison. Conflict prevention and conflict management missions abroad have become increasingly important.

- Today’s international system is no longer determined by a few blocs but by a multitude of states. Responding effectively to the new security challenges is only possible through close international cooperation.

- German security policy is largely defined through the European Union. The Common Foreign and Security Policy (CFSP) of the European Union and the ESDP as an integral part of the CFSP have assumed ever greater importance. In this context, the ESDP plays a decisive part in developing a balanced partnership with NATO and the United States.

- The United States is an important actor in the global pursuit of peace and stability. It will not be possible to master the great challenges without close cooperation with the United States. Today the United States recognizes that the European Union clearly expands the range of crisis management tools available to the international community. The US is therefore participating as a third country in, for instance, the police and rule-of-law mission led by the EU in Kosovo (EULEX) and has seconded a liaison officer to the EU mission focused on reforming the security sector in the Democratic Republic of the Congo (EUSEC).

- The interdependence that links states around the globe makes it necessary to have a comprehensive understanding of security. Risks and threats must be met with a coordinated set of tools that includes diplomatic, economic, development policy, police and military activities.

- The United Nations has primary responsibility for preserving stability and peace around the world. The UN Security Council remains the central decision-making body within the framework of international peacekeeping as well as the most important source of legitimacy under international law.

Over the last 19 years Europe has become a zone of stability and security. Our goal is to systematically expand this area of security by using the European Neighbourhood Policy to help stabilize the neighbouring regions of the EU. At the same time, we face threats due to developments in distant regions. Conflicts on other continents can have a direct impact on our security. Terrorist groups, for instance those linked to Islamic fundamentalism, have set their sights not only on the US but also on Europe.

It is important against this background that the Europeans assume an even greater responsibility for their security in the future, both in the EU and in NATO. The adoption of the European Security Strategy in December 2003, which was reaffirmed by the European Council in December 2008, is an expression of this recognition. We need a comprehensive approach that combines civilian and military crisis management instruments to prevent conflicts from escalating whenever possible. European integration and the transatlantic partnership will continue to be the most important pillars of Germany’s foreign and security policy.
3. European Security Strategy

On 12 December 2003, the European Council adopted the European Security Strategy (ESS) “A Secure Europe in a Better World”. The ESS outlines the European Union’s strategic position following the paradigm shifts incurred by the events of 1989 1990 and 11 September 2001. It serves as a basis both for strategic dialogue with our most important partners, especially the United States, and for defining the European Union’s common security interests.

The Strategy also directly addresses the citizens of the European Union. It was the first document to outline, in terms that the general public could relate to, a framework for the Common Foreign and Security Policy that will continue to serve as a guideline for the EU member states and institutions.

Surveys have demonstrated that citizens have high expectations of the European Union’s security policy. The Security Strategy analyzes the most important global challenges and risks and identifies the instruments the EU has at its disposal to counter them. In doing so, the Strategy assumes a comprehensive understanding of security.

The Strategy identifies five principal threats to our security: international terrorism, the proliferation of weapons of mass destruction, regional conflicts, state failure and organized crime.

Against this background, it defines three overall goals:

- These new threats must be countered early on and with the full range of instruments available to the EU. Priority is given to timely action and to addressing the causes of conflict where they occur.
- The Security Strategy defines the European Union’s will to act on a global scale. At the same time, the Strategy places emphasis on establishing security in our immediate neighbourhood. In doing so, the EU is pursuing the goal of helping establish a ring of stable and responsibly governed states from the eastern borders of the EU to the Mediterranean.
- The EU is committed to a global order based on effective multilateralism and international law, an expression of the Europeans’ conviction that no nation can meet the new global challenges on its own.

The Strategy advocates a more active EU foreign policy and the targeted use of the wide range of instruments at its disposal for the prevention and management of conflicts, as well as for post-conflict reconstruction. Specifically, these include political, diplomatic, trade and development policy activities in addition to civilian and military crisis management tools.

A number of important German concerns are reflected in the Security Strategy. For instance, the document places great emphasis on the need for the EU to take preventive action to keep conflicts from escalating. Its commitment to the United Nations Charter underlines that military force may be used only as a last resort and only in accordance with the Charter. The document reaffirms that the primary responsibility for peace and security rests with the UN Security Council. Moreover, the Strategy highlights the importance of arms control for global security as well as the significance of the strategic partnership between the EU and NATO for crisis management.
Germany firmly supported the adoption of the European Security Strategy. The Strategy remains true to the identity and values that characterize the EU as a power based on the rule of law. However, it also underscores the fact that the EU has become a “civilian power with bite” – willing to take robust action if rules are broken.


The evaluation presents a more detailed analysis of the threats posed in the areas of cyber, environmental and energy security as well as by climate change. It analyzes the role of emerging countries and commits to providing better protection for women and children in armed conflicts.

To achieve an even more capable foreign policy, the report calls for the EU and its member states to make greater efforts to develop the instruments necessary to implement the strategy, to utilize EU policies and instruments in a more coherent and coordinated manner, and to intensify cooperation with international organizations like the UN, NATO and the OSCE.

4. Milestones and fundamentals of ESDP

Legal basis

The groundwork for the development of the CFSP/ESDP was laid starting in the mid 1990s, induced by the realization that the European Union had limited security policy options during the violent break-up of Yugoslavia.

The goal of a Common Foreign and Security Policy (CFSP) for the European Union was formulated for the first time in the Maastricht Treaty, which entered into force in 1993.

The Amsterdam Treaty, which entered into force in 1999, incorporated the ‘Petersberg tasks’ of the Western European Union (WEU; humanitarian and rescue tasks, peacekeeping tasks and tasks of combat forces in crisis management, including peacemaking) into the EU framework. Initially these tasks continued to be carried out by the Western European Union at the behest of the EU. The creation of the High Representative for the CFSP, a post held by Javier Solana since November 1999, gave European foreign and security policy a face.
The Nice Treaty, which entered into force in 2003, created the institutional prerequisites necessary for the European Union to carry out crisis management activities on its own. The treaty established the Political and Security Committee (PSC), which exercises political control and strategic direction of crisis management operations and can draft decisions for the Council in this context.

The further institutional development of the ESDP is also encompassed in the Lisbon Treaty which, according to the European Council conclusions of December 2008, should enter into force by the end of 2009 and is currently still in the process of being ratified. There are three very important provisions in the Treaty for the further development of the ESDP:

- The Treaty contains a political solidarity clause, which is very similar to the political solidarity clause in the WEU Treaty. It takes the obligations of NATO member states into account as well as the special character of the constitutional provisions of the neutral member states of the European Union.

- Permanent structured cooperation will enable a group of member states to take further steps, under the auspices of the European Union, towards integration in developing their military capabilities.

- In addition, the coherence of the European Union’s actions in the area of external relations will be decisively improved through the creation of a new post: the High Representative for the CFSP, the EU Commissioner for External Relations and the Chair of the External Relations Council. The High Representative will also be explicitly responsible for the ESDP. He will be supported by the new European External Action Service which will be composed of representatives from the European Commission, the EU Council Secretariat and the diplomatic services of the member states.

Milestones

The ESDP was conceived at the Cologne European Council in June 1999. It was preceded by a joint British-French declaration on developing Europe’s ability to take action in this field issued in Saint-Malo in 1998. In Cologne the EU heads of state and government adopted a “Declaration on strengthening the common European policy on security and defence” which stated the central objective of the European Security and Defence Policy: the conduct of international crisis management operations and the establishment of the necessary structures and the required civilian and military capabilities.

The same year the Helsinki European Council stated: “The European Council underlines its determination to develop an autonomous capacity to take decisions and, where NATO as a whole is not engaged, to launch and conduct EU-led military operations in response to international crises.” The Council also agreed to build up the military capabilities necessary for such operations by 2003, also known as the ‘Helsinki Headline Goal’.

The ESDP is an integral part of the CFSP and functions according to the traditional rules of intergovernmental cooperation. Decisions must be unanimous and are usually made by the General Affairs and External Relations Council (GAERC). The defence ministers have also met in the GAERC format since 2004. They can make decisions regarding the European Defence Agency and military capabilities.

In order to be able to carry out crisis management operations, the EU had to create the relevant structures and develop the necessary procedures. The required structural decisions were made at the Nice European Council in 2000. The most important bodies are:

- The Political and Security Committee (PSC): The PSC comprises ambassadors from the 27 EU member states who deal with all Common Foreign and Security Policy issues. It exercises political control and strategic direction of crisis management operations on behalf of the Council.

- The Military Committee of the EU (EUMC): The Military Committee is made up of the member states’ Chiefs of General Staff or their representatives. The Military Committee advises the PSC on military crisis management issues and the development of military capabilities. The Chair of the Military Committee also acts as an advisor to the Secretary-General/High Representative on all military issues. The Military Staff, part of the EU-Council Secretariat, does the preparatory work for the Military Committee.

- The Committee for Civilian Aspects of Crisis Management (CIVCOM): CIVCOM is comprised of diplomats and civilian crisis management specialists and advises the PSC on all issues of civilian crisis management.
5. Military capabilities

At its meeting in Helsinki in 1999, the European Council set a ‘headline goal’ for the development of military capabilities in order to be able to manage the entire spectrum of military crisis management tasks set out in the EU Treaty. The goal stated that, for EU led operations, by 2003 the member states should be able to deploy within 60 days and sustain for at least one year forces of 50,000–60,000 soldiers capable of carrying out the full range of Petersberg tasks. The 2001 Laeken European Council conclusions included a declaration on the operational capability of the ESDP. In quantitative terms, the headline goal had been met to a large degree by 2003. However, there were a number of primarily quantitative capability shortfalls, particularly in the areas of strategic transport, strategic reconnaissance and command and control capability. The European Capability Action Plan (ECAP) constituted a first step towards addressing those deficiencies. ECAP achieved concrete results such as the Strategic Airlift Interim Solution (SALIS), which provides the member states with assured access to large-capacity transport aircraft like the Antonov AN 124–100 planes based in Leipzig. In 2003 the Capability Development Mechanism was adopted to analyze demand, available capabilities and existing deficiencies.

Following the events of 11 September 2001 and the adoption of the European Security Strategy, the headline goal was adjusted in 2004 and adopted with a new target date as the Headline Goal 2010. The most important development was expanding the range of tasks to include observation, training and support of third countries in countering terrorism. A key element was the call to develop the EU’s rapid response capability for a military crisis which stemmed from a proposal by Germany, France and the United Kingdom. This led to the creation of the EU Battlegroups (EU BG) in 2005. They reached their full operational capacity in 2007, meaning that two Battlegroups are always available, each for a period of six months. They are rapidly deployable and capable of conducting limited independent operations or the initial phase of a larger operation. An EU Battlegroup is comprised of an infantry battalion, mobile headquarters and the required support staff. The core of a Battlegroup consists of about 1500 soldiers.

Five nationally-provided Operation Headquarters are available for commanding military ESDP missions (in Germany, France, the United Kingdom, Italy and Greece) and can be staffed with personnel from the EU member states upon activation. In addition, NATO makes its SHAPE headquarters available for EU operations that are to be carried out with recourse to NATO assets and capabilities. Moreover the EU has its own Operations Centre that can command military operations of limited size if no national headquarters is available.

To implement the Headline Goal 2010, the entire European demand for military capabilities was determined. This was then compared with the national forces and capabilities offered voluntarily. The result of the comparative analysis was mainly the discovery of shortfalls that were assessed in terms of their operative risk and then categorized. Afterwards, the shortfalls that had been identified were prioritized. A host of individual projects were initiated on this basis and are currently being implemented.

In December 2008 the European Council raised the bar in a declaration on enhancing the ESDP. It is based on the framework of the military and civilian headline goals and serves to align the spectrum of tasks and operational scenarios with the operations and missions most likely for the EU.
The EU should thus be capable of simultaneously planning and conducting the following operations:

- two major stabilization and reconstruction operations, with a suitable civilian component, supported by up to 10,000 troops for at least two years;
- two rapid-response operations of limited duration using the EU Battlegroups;
- an evacuation operation for European nationals, bearing in mind national responsibilities and making use of the “Lead State Concept”;
- a maritime or air surveillance/interdiction mission;
- a civilian-military humanitarian assistance operation lasting up to 90 days;
- a dozen ESDP civilian missions of varying formats (particularly police, rule-of-law, civilian administration or disaster response missions, security sector reform and observation missions) including one major mission (with up to 3000 experts) possibly lasting up to several years.

6. European Defence Agency

The European Defence Agency (EDA) was established in 2004 “to support the Member States and the Council in their efforts to improve European defence capabilities in the field of crisis management and to sustain the European Security and Defence Policy as it stands now and develops in the future”. Along with developing defence capabilities, the EDA is to promote armaments cooperation, improve the industrial and technological basis in the field of defence, support the establishment of a competitive market for European defence equipment and promote cooperative research in defence technology.

For the first time at the EU level, the areas relevant for the initiation and preparation of cooperative programmes – capability analysis, research and technology and armaments – are thus integrated in a single organization, the EDA.

The EDA quickly began its work and now has over 110 staff members implementing numerous projects in all four of the areas mentioned above.
Strategic documents were adopted for each of the areas to serve as a set of guidelines for future work.

In particular, the agency is to assume a coordinating function between existing structures and organizations, pool activities and achieve maximum synergy in its areas of responsibility.

As part of the process developed to implement the Headline Goal (Capability Development Mechanism), the European Defence Agency is responsible for identifying priorities for capability development and continually implementing specific projects to this end. An initial Capability Development Plan was adopted in 2008 to guide the EDA in this function.

The European Defence Agency is part of the European Union’s overarching institutional framework. It works under the political control of the Council of Ministers and is directed on matters of substance by the Steering Board composed of the participating member states and chaired by the Secretary-General/High Representative of the EU. The Council meets in the Defence Ministers format to discuss and decide on issues affecting the EDA. The EDA allows the participation of third countries and is free to cooperate with other institutions. Norway has already concluded an administrative agreement with the EDA and is actively cooperating on a number of projects. Additionally, the Joint Action on the Establishment of the EDA envisages cooperation and coordination with the Organization for Joint Armament Cooperation (OCCAR) in order to achieve optimal interagency cooperation.

The Joint Action also envisages cooperation with Turkey, Norway and NATO.

7. Civilian capabilities

The experience gained in the Balkans and in Afghanistan has shown that civilian instruments are an indispensable part of crisis management and, in most cases indeed preferred. Civilian forces are essential to conflict prevention. Moreover, it is often necessary to rebuild state structures after a violent conflict has ended. Generally, police officers and civilian experts in the fields of police, rule of law, civil administration and customs are needed to restore and maintain stability. This usually goes hand in hand with long-term commitment within the framework of reconstruction or a domestic reform process in the country.

A trademark and particular strength of the ESDP is the parallel and balanced development of civilian and military capabilities. The European Union therefore has the entire spectrum of crisis management tools at its disposal, ranging from diplomatic negotiation efforts to the imposition of sanctions or the secondment of a police or rule-of-law mission to the use of military force. Germany strongly supports strengthening the EU’s civilian capabilities.
The Feira (June 2000) and Göteborg (June 2001) European Councils formulated corresponding objectives for the different areas of civilian crisis management. The heads of state and government agreed to develop capabilities in the areas of police, rule of law, civil administration and civil protection.

In December 2004, the European Council adopted a consolidated headline goal for civilian crisis management, the Civilian Headline Goal 2008. Its main objective is to identify and develop the civilian capabilities the EU requires until 2008 to complete its tasks. During the German Council Presidency, the time horizon was aligned with the military Headline Goal 2010.

The Civilian Headline Goal is intended to bring about qualitative and quantitative improvements in the area of civilian crisis management; these are to be achieved through the following measures:

- Mobilizing adequate resources for civilian crisis management. The aim is to have the capability to carry out several civilian missions, including a larger ‘substitution mission’ in a non-benign environment, concurrently over a longer period of time.

- Enhancing the rapid response capability in the civilian sector (for example by seconding integrated civilian Crisis Response Teams).

- Linking civilian and military crisis management more closely. The EU and its member states offer a range of programmes that prepare police officers and civilian experts for missions within the framework of the ESDP. Cooperation with non-governmental organizations (NGOs) is very important in this context. One organization that stands out is the Berlin based Zentrum für Internationale Friedenseinsätze – Center for International Peace Operations – ZIF) which was founded in April 2002 in close cooperation with the Federal Government and the Bundestag (German Parliament). Today ZIF is one of Europe’s leading institutions for recruiting and training civilian personnel.

8. EU and NATO: Strategic partnership

The EU and NATO complement rather than compete with each other. In cases “where NATO as a whole is not engaged”, the EU is to be able to launch and conduct its own military operations. In this respect there are two possibilities: EU led operations with recourse to NATO assets and capabilities (examples: Operation CONCORDIA in the Former Yugoslav Republic of Macedonia; Operation ALTHEA in Bosnia and Herzegovina) and those without such recourse (examples: Operation ARTEMIS and Operation EUFOR RD Congo in the Democratic Republic of the Congo; Operation ATALANTA; EUFOR Tchad/ RCA). The latter are referred to as “autonomous” operations.

Germany considers it very important for NATO assets and capabilities to be used whenever it is possible and logical to do so. That is why concluding the permanent arrangements between the EU and NATO (“Berlin Plus” agreement) in March 2003 was such a significant milestone. Most member states of the EU and NATO are members of both organizations and therefore have an interest in achieving complementarity and creating synergies.
At the Washington Summit in April 1999, NATO made the EU an offer building upon the existing cooperation between NATO and the Western European Union (WEU). It was named the “Berlin Plus agreement” because the offer was a reiterated and improved version of an offer that the NATO Foreign Ministers had made the WEU in 1996 at a meeting in Berlin. Basically, NATO offered the EU recourse to the Alliance’s collective “assets and capabilities” as well as continuous access to NATO planning capabilities for EU led operations. This is important because, in contrast to NATO, the EU does not have its own military command organization. In order for this assistance to be provided, NATO “as a whole” cannot be involved in crisis management in the specific situation.

Close coordination between the EU and NATO is also central to the development of military capabilities. Each of the 21 countries that are members both of the European Union and NATO draws its military contributions to both organizations from a single pool of national forces. It therefore makes sense that efforts to further develop military capabilities in the EU and NATO should be “mutually reinforcing”. To achieve such an effect, the planning processes of both organizations must be synchronized and harmonized – something Germany strongly advocates and supports. According to the communiqué of the November 2002 Prague NATO Summit, the NATO Response Force (NRF) and the related work of the EU Headline Goal should also be mutually reinforcing. The development of military capabilities undertaken within the framework of the ESDP also strengthens the interoperability of American and European forces within NATO.

NATO is and will continue to be the foundation of our collective defence. No other organization will be able to assume this key task in the foreseeable future. But a strong alliance also requires capable partners in Europe. From the German perspective, a dynamic ESDP ought to strengthen the European pillar of the Alliance and therefore NATO as a whole. The German Government views close cooperation between NATO and the EU as indispensable.

9. European Union Satellite Centre

The European Union has had a satellite centre since 1 January 2002 that is tasked with supporting EU decision-making within the framework of the CFSP and the ESDP. To this end, the Centre assesses satellite imagery and collateral data such as aerial imagery and produces analyses that are made available to the Council Secretariat and, usually, also to the member states. It is located in Torrejón de Ardoz near Madrid.

The Political and Security Committee (PSC) exercises political supervision over the Centre which with regard to its operational work reports directly to the Secretary-General/High Representative for the Common Foreign and Security Policy. According to the European Security Strategy (ESS), the European Union seeks to actively pursue its strategic goals. The security challenges Europe faces are global in nature. In order to meet these challenges, Europe must be able to decide and act globally; in this respect, global satellite reconnaissance can make a valuable contribution.
The Centre’s priorities reflect those outlined in the ESS. Special emphasis is placed on the following issues: preventing the proliferation of weapons of mass destruction and their means of delivery, combating international terrorism, defusing regional conflicts and offering support in the areas of crisis and conflict management. Supporting the planning, preparation and execution of EU missions and operations is becoming increasingly important. Reliable and up-to-date information on the geography of the deployment location is the basis of every operation. The Satellite Centre has prepared image analyses for all EU operations to date. Specifically, this includes the operations in Bosnia and Herzegovina (ALTHEA) and off the coast of Somalia (ATALANTA).

Under certain conditions, international organizations can also submit requests to and receive products from the Centre. For instance, the Satellite Centre is assisting the United Nations mission in the Democratic Republic of the Congo (MONUC). It also cooperates closely with NATO, the African Union and the International Atomic Energy Agency (IAEA).

Member states usually task the Centre in connection with their contributions to humanitarian and peacekeeping missions. Lebanon is a current example of such an operation, but past examples include the assistance provided following the 2005 tsunami in Asia or the 2006 earthquake in Pakistan.

The training capacity of the Satellite Centre, originally intended for the continuing training of its own personnel, is increasingly being used by the member states. Additionally, representatives of the member states meet several times a year in Torrejón to discuss the practical aspects of satellite imagery analysis for gathering intelligence on issues concerning foreign and security policy. In this way, the Centre is helping to develop European cooperation in the area of digital satellite imagery analysis.

In order to provide the Satellite Centre with high-quality satellite imagery, agreements were signed in November 2008 with countries participating in the Helios II satellite system and with Italy (which operates the COSMO-SkyMed satellite). A declaration of intent was also concluded with Germany (which operates the SAR-Lupe satellite).

10. EU crisis management operations

Since 2003 the EU has undertaken 24 operations, some of them rather complex. Nine of these missions have already been successfully completed. Four of the most important operations and missions are described below.

**Operation EU NAVFOR ATALANTA: Combating piracy off the coast of Somalia**

The European Union began its first maritime operation, EU NAVFOR ATALANTA, on 9 December 2008. The operation is Europe’s contribution to combating piracy off the Horn of Africa. In the months leading up to the start of the operation, pirates (mainly from the autonomous region of...
Puntland in Somalia) had attacked and captured a number of merchant vessels in the Gulf of Aden off the eastern coast of Somalia.

There has been fighting between various groups and clans in Somalia since the fall of Siad Barre’s government in 1991. By now, there are hardly any state structures or functioning authorities left. Across large sections of the country lawlessness is common and much of the population lives in extreme poverty. The absence of effective administrative structures and the precarious conditions the Somali people live in contribute greatly to the piracy off the coast of Somalia.

Several ships serving the United Nations World Food Programme (WFP), delivering food to the starving Somali population, were attacked by pirates. As a result, it became increasingly difficult for the United Nations to charter ships to carry out this humanitarian task. Moreover, the Gulf of Aden is part of the most important trading route between Europe and Asia. Annually, well over 20,000 ships pass through the waters off the Somali coast.

In light of the worsening maritime security situation off the coast of Somalia, the UN Security Council adopted Resolutions 1814, 1816, 1838 and 1846 in 2008. These resolutions permitted, under certain conditions, warships from other countries to enter Somalia’s coastal waters in order to combat piracy. According to customary international law and the 1982 UN Convention on the Law of the Sea, any warship is authorized to fight acts of piracy on the high seas.

Furthermore, the resolutions call on the international community to take action against the escalating acts of piracy. The European Union responded to this call by adopting a comprehensive crisis management concept on 5 August 2008. Following extensive work in the Council bodies, Council Joint Action 2008/851/CFSP was adopted by the Council of the European Union on 10 November 2008. A concept of operation and operation plan were subsequently developed. Approval to begin the operation was received from the Council on 8 December 2008 and it was launched on the following day. Thus, EU NAVFOR ATALANTA became the first operation with the specific goal of combating piracy off the Horn of Africa.

ATALANTA is mandated with protecting ships chartered by the WFP and merchant vessels in the area and keeping watch over those stretches of the Somali coast that pose a danger to maritime traffic. Forces participating in ATALANTA may “take the necessary measures, including the use of force, to deter, prevent and intervene in order to bring to an end acts of piracy and armed robbery” and are also authorized to “arrest, detain and transfer persons who have committed … acts of piracy or armed robbery” as well as seize evidence (Article 2, Joint Action 2008/851/CFSP).

Several frigates with on-board helicopters, a supply ship and a maritime patrol aircraft were needed to establish operational capability. The mission’s Operational Headquarters is located in Northwood, near London. Tactical command in the theatre of operation is carried out from a frigate and rotates every four months. Operation ATALANTA cooperates closely with other navies in the area. This includes NATO as well as the US led Combined Task Force 151, which combats piracy under the auspices of Operation Enduring Freedom, and warships from China, Russia, India, the Republic of Korea, Malaysia and Japan. Operational Headquarters in Northwood also works closely with other countries in the region.

On 19 December 2008 the German frigate “Karlsruhe” was assigned to Operation ATALANTA. The Federal Cabinet had given its approval for Germany’s participation in the operation on 8 December on the basis of the Joint Action. On 19 December 2008 the Bundestag (parliament) agreed by a large majority to the deployment of the German navy within the framework of ATALANTA.

For the duration of the operation, Germany will contribute at least one frigate with an on-board helicopter and protection teams known as Vessel Protection Detachments (VPD). Moreover, the upper limit of 1400 soldiers specified in the Bundestag mandate allows for the temporary assignment of additional naval units, if necessary. This explains why at times there are several German frigates and supply ships taking part in ATALANTA. The maritime patrol aircraft P3C Orion, which is temporarily stationed in Djibouti, can also support ATALANTA if necessary. While the Standing NATO Maritime Group (SNMG) was in transit, the German ships belonging to that group were assigned to ATALANTA.

In addition to military and operational challenges, Operation ATALANTA raised a number of legal questions that had to be addressed at both the EU and national levels. These issues primarily concern what to do with pirates taken into custody. Because the courts and correctional facilities in Somalia do not meet even the most basic requirements, it was necessary to find alternative means of prosecuting suspects. The European Union therefore concluded an agreement with the Republic of Kenya that allows persons taken into custody to be transferred to Kenya for criminal prosecution. Germany is also working to establish an international court for piracy.
Despite the vast area of sea to patrol and a number of logistical and operational challenges, ATALANTA had a successful start. As of May 2009, ATALANTA had safely guided over 150,000 tons of food from the WFP through the dangerous area so that it could be distributed to the suffering Somali population. In this way, well over a million people were able to be fed. In many cases it was possible to fend off pirate attacks on merchant vessels. By cooperating with other actors in the area, ATALANTA succeeded in making passage through the Gulf of Aden relatively safe.

At the same time, attacks on civilian ships in the area have continued. Their crews are often taken hostage. This shows that the fight against piracy will take time and that even better coordination is necessary between the limited number of forces. Yet it also demonstrates that the military answer to piracy off the coast of Somalia must be accompanied by efforts to establish a functioning state on land. Creating reliable state structures, especially in the field of security, remains a daunting task in the Horn of Africa.

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European Union Police Mission in Afghanistan (EUPOL Afghanistan)

After much careful preparation and many decisions on the part of the European Union, EUPOL Afghanistan began its work on 15 June 2007 with 45 international police officers, 27 civilian experts and 35 Afghan staff members. The aim was and still is to build up a democratic, multi-ethnic police force that fulfils its responsibilities to the population in accordance with international standards and on the basis of principles such as human rights and the rule of law. Simultaneously, special attention was given to promoting effective cooperation between police and judicial authorities, in particular with the prosecutor-general and the Afghan Ministry of Justice.

Envisaged for a period of three years, the mandate originally encompassed 234 police officers and civilian experts. However, since 1 December 2008 the mandate has permitted up to 400 international staff members. At the beginning of May 2009 the mission comprised 152 international police officers, 72 civilian experts from 19 EU countries and the supporting countries Norway, Croatia, Canada and New Zealand, including 40 police officers and 11 civilian experts from Germany, and 139 Afghans.
EUPOL staff members do not have executive powers. The seconded police officers carry weapons for their own safety. The civilian experts working on the operation are unarmed; protection is provided by a private security firm. Staff are housed in protected residences that are required to meet EU security standards. Outside of the homes, armored vehicles must be used for transport and bullet-proof vests must be worn at all times.

The mandate encompasses the following main points:

- supporting the Afghan Government in reforming the police; in particular, providing strategic consulting to the Ministry of the Interior;
- supporting the Afghan National Police (ANP) by helping to fight crime and develop the border police, uniformed police, Kabul city police as well as nationwide training and anti-corruption systems along with the relevant action plans;
- improving cooperation and coordination among international actors working in the police sector;
- streamlining the judicial process by advising the prosecutor-general’s office and the Ministry of Justice in particular.

Police work focuses on the following areas: analysis and evaluation of capabilities, criminal prosecution, information-gathering, ANP inspection and patrolling activities, city security and training and education for the ANP, including the border police.

Together with the ANP, EUPOL is developing an information-gathering and assessment system. Additionally, courses are offered on topics including crime-scene investigation techniques, investigation procedures and covert operation and surveillance measures.

Since February 2009 the EUPOL Kabul City Security Project and other international partners have helped the ANP implement a comprehensive security concept for Kabul (population 4.5 million) and introduce the model in other cities. Over 1200 members of the ANP have already received training in Kabul and a further 1800 will be trained in the coming weeks.

A number of pilot projects including aviation security training, a single nationwide emergency number and setting up cooperative investigation teams composed of police officers and public prosecutors to counter organized crime at the provincial level are being implemented across the country.

A comprehensive information technology concept was developed with the ANP in order to make possible self-sufficient IT system maintenance and upkeep, as well as the necessary vocational and special training. With the approval, budget and land for building an IT centre now at hand, implementation can begin.

EUPOL is currently running a “Train the Trainer” programme. By the end of the year, roughly 1000 police officers will have been trained as instructors to work in the field of ANP training.

In the run-up to the presidential elections, set to take place in August 2009, EUPOL is implementing a number of training measures together with the United Nations Development Programme (UNDP) that will benefit a total of 35,000 ANP police officers. At EUPOL’s initiative, the Senior Police Advisory Group was established. It coordinates the police-related activities of all international actors at the strategic level and presents political decision-makers with recommendations for developing the ANP.

In the area of the rule of law, EUPOL promotes cooperation among the police, public prosecutor’s office and judiciary, advises the office of the prosecutor-general and the Ministry of Justice and supports the drafting of legislation. EUPOL was thus able to help considerably in drafting the new code of criminal procedure, police legislation and administrative law governing the police.

A strategy and structure for promoting equal opportunity and human rights are currently being developed in the Ministry of the Interior. Joint seminars and working groups ensure better cooperation among police, public prosecutors and judges by institutionalizing working methods.

EUPOL has taken an impressive lead on the anti-corruption campaign in the Interior Ministry. Together with its international partners EUPOL developed an implementation concept and identified the required measures to be taken in detailed action plans, which were approved by the Minister of the Interior.

The main tasks in the next few months will be implementing the projects and introducing the pilot projects across the whole country. Extending the mission in the individual regions and provinces and bolstering rule-of-law activities are further priorities.
EULEX Kosovo – The largest civilian ESDP mission to date fully operational

After beginning operations on 9 December 2008, the ESDP rule-of-law mission EULEX Kosovo reached its full operational capability on 6 April 2009 with around 1750 international (and some 800 local) staff. It is the first civilian ESDP mission to have an executive mandate. Within the general framework of United Nations Security Council Resolution 1244, the mission is tasked with assisting the authorities of Kosovo in establishing a professional, multi-ethnic judiciary, police force and customs service and helping them meet the EU’s rule-of-law standards. Germany is playing a substantial role in the mission: it currently has around 100 police officers and over 20 civilian experts (judges, public prosecutors, administrative experts) serving in Kosovo.

Originally, EULEX was to reach its full operational capability on 15 June 2008 when Kosovo’s constitution entered into force. But difficult negotiations within the UN framework and with Serbia delayed the transfer of authority from the UN interim administration (UNMIK) to the authorities of Kosovo and EULEX. On 24 November 2008, UN Secretary-General Ban Ki moon submitted a report on reducing UNMIK’s presence and underscored the larger role the EU would play in the future. All members of the UN Security Council welcomed the report with a Presidential Statement on 26 November 2008. From Serbia’s perspective, this legitimized the deployment of EULEX throughout all of Kosovo. The EU issued a declaration supporting the UN report on 28 November 2008. On this basis, an exchange of letters between Secretary-General/High Representative Solana and President Tadic took place on 28 November 2008, in which Serbia expressed its political support for the buildup of the EULEX mission across all of Kosovo.

EULEX was able to report its first success in the first half of 2009: EULEX staff are advising and supporting all police stations across the country. More multi-ethnic police patrols are being established. Over 100 criminal hearings and trials have been carried out and the first war crimes trial has been concluded. Civil cases are gradually being processed. Border crossings 1 and 31 on the border between Serbia and Kosovo are open around the clock for the first time, with statistics on the number of people and amount of goods that passes through them being recorded in preparation for a future toll.

Close cooperation between the NATO mission KFOR and EULEX has been able to guarantee a stable security situation in Kosovo so far.

A number of (international) legal, political and practical issues still need to be resolved; for example, to what extent do residents of the country recognize and abide by legislation Kosovo’s parliament passes under the "umbrella" of the UN? Another example is achieving a fully functional court in north Mitrovica, which is viewed as especially important by the people of Kosovo. The same is true for filling positions in Kosovo’s police force in order to ensure a proportional representation among ethnicities.

The success of the EULEX mission will continue to depend on the commitment, energy, team spirit and communication skills of our EULEX experts, who manage to do their jobs under logistical circumstances that are anything but simple. Their work is guided by the principle: by the people, for the people.
Serving on behalf of the EU: German experts take part in the EU monitoring mission in Georgia – Current situation as of May 2009

In the summer of 2008, a situation requiring action by the European Security and Defence Policy arose in the EU’s immediate neighbourhood: a dispute over South Ossetia and Abkhazia that had long been brewing turned into a violent conflict between Russia and Georgia. As the United States was limited in its ability to take action in foreign policy due to the presidential election campaign, it fell primarily to the EU to end the fighting quickly and restore stability to the region.

Nicolas Sarkozy, the EU Council President at the time, was able to negotiate a ceasefire with the help of shuttle diplomacy. On 12 August the parties agreed on the “six-point Agreement”, which was further refined in an implementation agreement on 8 September. The EU assumed the role of guarantor of stability in the region and, with its Special Representative Pierre Morel, was also a decisive force in the political talks on resolving the conflict.

A European Union Monitoring Mission (EUMM) with over 200 experts led by the German diplomat Hansjörg Haber has been active in Georgia proper since 1 October. Its tasks are to contribute to stabilization, normalization and confidence-building in the country and to brief European policy-makers on the situation there.

Germany is participating in the mission with a total of 44 experts that include both police officers and experts from ZIF. They patrol the administrative boundary lines of South Ossetia and Abkhazia daily in order to monitor the deployment of Georgian, Abkhazian, South Ossetian and Russian security forces. They visit shelters for refugees and talk to people in the villages in the conflict zone in order to find out about their living conditions. The impressions they gather can result in proposals for aid projects to be initiated in coordination with the European Commission. The regular reports EUMM sends to Brussels and the capitals of the member states keep policy-makers up-to-date on the current situation on the ground.

The most difficult task is building fresh confidence. Eight months after the war, the conflict parties deeply distrust each other and contact is practically non-existent. The sight of the conflicting parties’ security forces alone, manning Georgian, Abkhazian, South Ossetian or Russian checkpoints in bullet-proof vests and carrying machine guns, does not exactly encourage mutual trust. The EU observers pay regular visits to the checkpoints, communicate information between the different sides and sometimes even succeed in getting the checkpoint commanders of both sides to speak to each other directly.

A mechanism for preventing further incidents was developed within the framework of the Geneva talks led by EU Special Representative Pierre Morel. Under the direction of EUMM, the OSCE and UNOMIG, representatives of all sides are to meet weekly on the ground to discuss security issues and, in the mid-term, build confidence. An initial meeting regarding South Ossetia took place along the administrative boundary line at the end of April. It demonstrated that there is still much work to be done.

After eight months, the EUMM patrols have become a familiar sight in the villages along the administrative boundary lines. The people there and the Georgian government affirm that EUMM is making a considerable contribution to security in those areas. It is also due in no small part to the EUMM presence that the Russian troops withdrew from the areas bordering South Ossetia and Abkhazia at the beginning of October, allowing the people who had fled to return home.
On patrol in Kinshasa in the Democratic Republic of the Congo: A German soldier from Parachute Battalion 261 based in Lebach in the German federal state of Saarland waves at onlookers.
The Federal Foreign Office sets great store by the use of language which treats men and women as equals. In this publication, however, not all formulations are gender neutral as the explicit naming of both forms would make it more cumbersome to read some texts.
The European Security and Defence Policy